1.0 Reason for Policy
This policy describes the grounds and process for appealing an IBC decision to suspend or terminate IBC approval of a study.

2.0 Scope of Policy
This policy applies to the University research community and its partners.

3.0 Policy Statement

Purpose: The purpose of an appeal is to give the researcher an opportunity under limited circumstances to request reconsideration of an IBC decision to suspend or terminate IBC approval of a study. Grounds for appeal are limited to:

- New information not reasonably available during the IBC review/investigation
- Material failure to follow IBC policies and procedures
- The sanction exceeds the severity of the non-compliance violations
- The action is disproportionate to the risks to laboratory staff, the environment and the general public

No other grounds will be considered.
**Appeals Process:** The IBC Executive Committee will serve as the Appeals Body. The researcher has five working days from the IBC suspension or termination decision to submit a written appeal. Suspensions of IBC approval based on safety issues may be implemented immediately and remain in effect pending the outcome of the appeal. The Executive Committee will review the written statement of appeal and make a determination as to whether any aspect of the IBC Committee decision should be reconsidered based on the grounds outlined above. In reaching this decision, the Executive Committee may seek a response from the IBC Committee or the Investigation Panel if one was convened.

If the Executive Committee denies the appeal, the prior decision becomes final. If the Executive Committee re-opens the case, it may choose to reconvene the Investigation Panel or reconsider the matter on its own. The Executive Committee, or the Investigation Panel, if reconvened, will offer the researcher the opportunity to appear personally to present the appeal.

Upon reconsideration, the Executive Committee determines whether to modify or uphold the original decision. This action is final. Consistent with the IBC's regulatory authority, no other entity within the University may override an IBC decision which limits, imposes conditions or in any way restricts a researcher’s privileges. The appeal/reconsideration process is expected to be completed within 30 working days.

### 4.0 Required approvals for this document

<table>
<thead>
<tr>
<th>Title</th>
<th>Name</th>
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<tbody>
<tr>
<td>Executive Director, HRPP</td>
<td>Moira Keane</td>
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### 5.0 Revision History

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<thead>
<tr>
<th>Revision</th>
<th>Reason for change</th>
<th>Date of release</th>
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<td>12/08/11</td>
<td>Policy revision</td>
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<tr>
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